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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

William F. Northrop and Jian L. Zhao

Application No.: 10/825,078

Group Art Unit: 1746

Filed: April 15, 2004

Examiner: Not yet assigned

Confirmation No.: 7170

Title: MODULAR FUEL REFORMER WITH REMOVABLE CARRIER

CERTIFICATE OF MAILING OR TRANSMISSION	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or is being facsimile transmitted to the United States Patent and Trademark Office on:	
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Meredith H. Hunsy	
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INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is submitted:

☐ under 37 CFR 1.129(a), or

(First/Second submission after Final Rejection)

☒ under 37 CFR 1.97(b), or

(Within any one of the following time periods: three months of filing national application (other than a CPA) or date of entry of the national stage in an international application; or before the mailing date of a first office action on the merits in a non-provisional application, including a CPA, or a Request for Continued Examination).

☐ under 37 CFR 1.97(c) together with either:

☐ a Statement under 37 CFR 1.97(e), as checked below, or

☐ a \$180.00 fee under 37 CFR 1.17(p), or

(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

☐ under 37 CFR 1.97(d) together with:

☐ a Statement under 37 CFR 1.97(e), as checked below, and

☐ a \$180.00 fee under 37 CFR 1.17(p), or

(Filed after final action or notice of allowance, whichever occurs first, but on or before payment of the issue fee)

☐ under 37 CFR 1.97(i):

Applicant requests that the IDS and cited reference(s) be placed in the application file.

(Filed after payment of issue fee)

Statement Under 37 CFR 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Statement Under 37 CFR 1.704(d) (Patent Term Adjustment)

Applies to original applications (other than design) filed on or after May 29, 2000

- ☐ Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.
- ☒ Enclosed herewith is form PTO-1449:
- ☒ Copies of the cited references (B1-B2 and C1) are enclosed.
- ☐ Copies of issued U.S. patents and published U.S. applications are not required and are not being provided.
- ☐ Copies of the cited references are enclosed except those entered in prior application, U.S. Application No. [ ], to which priority under 35 U.S.C. 120 is claimed. [The earlier application contains copies of the cited references.]
- ☒ The listed references (A1-A2 and B1-B2) were cited in the enclosed International Search Report in a counterpart foreign application.
- ☐ The "concise explanation" requirement (non-English references) for reference(s) [ ] under 37 CFR 1.98(a)(3) is satisfied by:
- ☐ the explanation provided on the attached sheet.
  - ☐ the explanation provided in the Specification.
  - ☐ submission of the enclosed International Search Report.
  - ☐ submission of the enclosed English-language version of a foreign Search Report and/or foreign Office Action.
  - ☐ the enclosed English language abstract.

☐ Applicant requests that the following non-published pending applications be considered:

Examiner's  
Initials

\_\_\_\_\_ U.S. Patent Application No. [ ], by [inventor(s)], filed [ ], Docket No.: [ ]

\_\_\_\_\_ U.S. Patent Application No. [ ], by [inventor(s)], filed [ ], Docket No.: [ ]

\_\_\_\_\_ U.S. Patent Application No. [ ], by [inventor(s)], filed [ ], Docket No.: [ ]

\_\_\_\_\_  
Examiner

\_\_\_\_\_  
Date

- ☐ A copy of each above-cited application, including the current claims, is enclosed, except any application filed on or after June 30, 2003, which has been scanned into the PTO's Image File Wrapper (IFW) system and is available to the examiner.
- ☐ A copy of each above-cited application, including the current claims, is enclosed, except those entered in prior application, U.S. Application No. [ ], to which priority under 35 U.S.C. 120 is claimed.

The Examiner is requested to return a copy of the above list of pending applications indicating which references were considered with the next office communication.

It is requested that the information disclosed herein be made of record in this application.

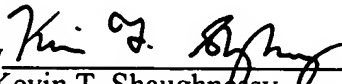
Method of payment:

- ☐ A check for the fee noted above is enclosed, or the fee has been included in the check with the accompanying Reply. A copy of this Statement is enclosed.
- ☐ Please charge Deposit Account 08-0380 in the amount of \$[ ]. A copy of this Statement is enclosed.
- ☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account 08-0380.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By

  
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Concord, MA 01742-9133

Dated: 10/29/03

PTO-1449 REPRODUCED

ATTORNEY DOCKET NO.  
3402.1019-001APPLICATION NO.  
10/825,078INFORMATION DISCLOSURE STATEMENT  
IN AN APPLICATIONFIRST NAMED INVENTOR  
William F. NorthropFILING DATE  
April 15, 2004EXAMINER  
Not yet assignedCONFIRMATION NO.  
7170GROUP  
1746

October 24, 2005

(Use several sheets if necessary)

## U.S. PATENT DOCUMENTS

EXAM- INER INI- TIAL	REF. NO.	DOCUMENT NUMBER Number-Kind Code (if known)	ISSUE DATE / PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT
	A1	3,453,087	07-01-1969	Herp, Jr., <i>et al.</i>
	A2	US 2001/0045061 A1	11-29-2001	Edlund, <i>et al.</i>
	A3			
	A4			
	A5			
	A6			
	A7			
	A8			
	A9			
	A10			
	A11			
	A12			
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	A18			
	A19			
	A20			
	A21			
	A22			
	A23			
	A24			
	A25			

EXAMINER

DATE CONSIDERED

PTO-1449 REPRODUCED  <b>INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION</b>  <b>October 24, 2005</b>  (Use several sheets if necessary)	ATTORNEY DOCKET NO. 3402.1019-001		APPLICATION NO. 10/825,078	
	FIRST NAMED INVENTOR William F. Northrop		FILING DATE April 15, 2004	
	EXAMINER Not yet assigned		CONFIRMATION NO. 7170	GROUP 1746

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER Country Code-Number-Kind Code (if known)	DATE MM-DD-YYYY	NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT	TRANSLATION YES NO	
	B1	EP 1 094 030 A2	04-25-2001	Nippon Chemical Plant Consultant Co., Ltd.		
	B2	WO 03/056642 A2	07-10-2003	Nuvera Fuel Cells, Inc.		
	B3					
	B4					
	B5					
	B6					
	B7					
	B8					
	B9					
	B10					
	B11					
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	B19					
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	B21					
	B22					
	B23					
	B24					

EXAMINER	DATE CONSIDERED
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<b>PTO-1449 REPRODUCED</b>  <b>INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION</b>  <b>October 24, 2005</b>  (Use several sheets if necessary)	<b>ATTORNEY DOCKET NO.</b> 3402.1019-001		<b>APPLICATION NO.</b> 10/825,078	
	<b>FIRST NAMED INVENTOR</b> William F. Northrop		<b>FILING DATE</b> April 15, 2004	
	<b>EXAMINER</b> Not yet assigned	<b>CONFIRMATION NO.</b> 7170	<b>GROUP</b> 1746	

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

C1	MSC Industrial Supply Co. Catalog (Table of Contents, pp. 1033, 1841, 2711 and 4007).
C2	
C3	
C4	
C5	
C6	
C7	
C8	
C9	
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C12	
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C17	

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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